



**Yamuna Expressway Industrial Development Authority** 

(A Govt. of Uttar Pradesh Undertaking)

First Floor, Commercial Complex, Block P-2, Sector-Omega 1, Greater Noida 201308, Distt. Gautam Budh Nagar, Uttar Pradesh



# Application Form for ALLOTMENT OF DEGREE COLLEGE, PG COLLEGE, MANAGEMENT INSTITUTE/TECHNICAL INSTITUTE, VOCATIONAL COLLEGE/INSTITUTE, SPORTS COLLEGE/SPORTS ACADEMY, SENIOR/HIGHER SECONDARY SCHOOL, INTEGRATED RESIDENTIAL SCHOOLS, RESEARCH AND DEVELOPMENT CENTRE PLOTS

#### SCHEME CODE: YEA/INST2024-2025/05

То

The Chief Executive Officer,
Yamuna Expressway Industrial Development Authority
First Floor, Commercial Complex,
Block-P-2, Sector-Omega-I,
Greater Noida 201308

Self-Attested photograph of Authorized Signatory

Dear Sir/Madam,				
We hereby submit our online application through www.yamunaexpresswayauthority.com for allotment on Plot No				
Sector Area Sq.mtr. to establish (Write from below list and mark right ü in front of				
belowbox)				
□ DEGREE COLLEGE				
□ PG COLLEGE				
☐ MANAGEMENT INSTITUTE/TECHNICAL INSTITUTE				
□ VOCATIONAL COLLEGE/INSTITUTE				
□ SPORTS COLLEGE/SPORTS ACADEMY				
☐ SENIOR/HIGHER SECONDARY SCHOOL				
□ INTEGRATED RESIDENTIAL SCHOOLS				
☐ RESEARCH AND DEVELOPMENT CENTRE				
We hereby agree to pay allotment money/ Installments/lease rent with applicable GST etc. as perpaymentplan or				
pay one time total premium of the plot here in after mentioned in the special terms and conditions (Base rates				
premium of allotment, area and permissible activities are given in relevant paras of the Special Terms and				
Conditions). We also agree to pay any amount demanded by the Authority in view of land compensation/direction of				
Hon'ble Court/Govt.				
We areen closing he rewith the following documents—				
a) Registration fee Rs (10% of total premium of the plot) Payable through online portal of YEIDA.				

b) Processing fee Rs. 25000/- with applicable GST@18% as per Government Notification.

c)	Certificate of authorization in favour of Shri/Smt. S/oorD/o
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	as(status-director/shareholder/Trustee/Member etc.) which ison behalf of the
	applicant Society/Trust/Company M/s constitute dunder Act of Government of India/State
	Government

- d) If applicant/company has been allotted any plot for Institutional/Commercial/Industrial use in YEIDA area then

  Details of that plot to be filled-
  - Allotment No. ......Plot No......Pocket No. .....Sector...........

  - Functional Date (With Proof)......
- e) Duly signed terms and conditions of the above registration as a token of acceptance of the terms and conditions of the allotment of Institutional Plots.
- f) The following Statutory Documents duly signed by the applicant and certified by C.A. & Architect-

SI. No.	Description	Enclosed "Yes" Not Enclosed "No" & Page No.		
1	Project Report (to be signed by applicant and certified by CA)			
1(a)	Feasibility report of the proposed project to be signed by applicant and certified by CA.			
1(b)	Land required, depicting the land use pattern, construction plan & schedule of implementation to be signed by applicant and certified by Architect.			
1(c)	1(c) Three years projected cash flow, depicting sources of inflows for the project, to be signed by applicant and certified by CA			
2	objective criteria sheet duly signed by applicant (Annexure-1)			
3	Three years (2021-22, 2022-2023, 2023-24) Audited balance sheet to be signed by applicant and certified by CA			
4	Financial statement of turnover of 2021-22, 2022-2023 and 2023-24 to be signed by applicant and certified by CA (Annexure-2)			

5	Financial statement of Networth as on 31.03.2024 to be signed by applicant and certified by CA (Annexure-3).					
6	Liquidity certificate from any nationalized/schedule Bank (Annexure-4). Photocopy of listed company's shares/NSC/Bonds/FDR are also be attached. In case of loan from friends/relatives, documentary evidence of available funds of the friends/relatives.					
7	Photocopy of certificate of incorporation or registration, memorandum & article of association of regulations of society/trust (to be signed by applicant and certified by CA).					
8	Indemnity Bond of the applicant as per annexures are true and correct (Annexure-5)					
9	Affidavit of the applicant certifying that all the statements made in application/annexures are true and correct (Annexure-6)					
10	Copy of PAN Card					
11	Copy of Aadhar Card					
12	Mandatory documents:- as mentioned in technical eligibility criteria.					
13	13 Photocopy of GST registration certificate					
14	14 Board resolution for setting up the project.					
15	Certificate of List of Directors and key Shareholders along with their shareholding and shareholding percentage or list of partners/trustees. (to be signed by applicant and certified by CA).					
16	Affidavit of the applicant certifying for full payment against total premium of plot within 90 days from date of issuance of Allotment letter. (Annexure-7)					
17	Affidavit of the applicant certifying that firm is applying for the first time or already have been allotted plot/plots in YEIDA. (Annexure-8)					
a) Re	efund Account Details (For the purpose of refund or registration money of unsuccessful ap	plicants)				
Na	ame of Bank & Branch:					
Ва	ank Account No					
IFS	IFSC Code					
Da	Date Address of Applicant					
М	obile No Phone No					
En	nail					
No	ote:- Cancelled Cheque should be enclosed with the application form.					

# PREFACE

The scheme document is applicable for allotment of Institutional Plot Scheme plots in the areas identified by Yamuna Expressway Industrial Development Authority (herein referred as 'YEIDA' or 'Authority' or 'Lessor').

Applications are invited for allotment of plots in Sector-17A, 22E to establish one or more following activities:-

- 1. Degree college
- 2. PG College
- 3. Management institute/technical institute
- 4. Vocational College/Institute
- 5. Sports College/Sports Academy
- 6. Senior/Higher Secondary School/Integrated residential Schools.
- 7. Research and Development Centre

#### **Data Sheet**

Sr. No.	Head	Details		
1.	Date of opening of the scheme	30 /10/2024		
2.	Date of closure of the scheme/ last date of submission of online application form	29/11/2024		
3.	Contact details address in the Authority office	Mr. G. Singh (Manager) Mob:- 9457021020 Yamuna Expressway Industrial Development Authority, First Floor, Commercial complex, P-2, Sector - Omega I, Greater Noida Email Id- Institutional@yamunaexpresswayauthority.com queries@yamunaexpresswayauthority.com		
4.	Allotment method for the scheme	Through direct allotment – Selection on the basis of presentation and objective assessment of applicant.		
5.	Availability of scheme brochure	Downloadable from the Authority's website :-     www.yamunaexpresswayauthority.com		
6.	Eligible entities	<ul> <li>a) Registered Trust</li> <li>b) Registered Society</li> <li>c) No Profit Company Registered Under Section-8 of Companies Act, 2013</li> <li>d) Private Limited Company</li> <li>Note: <ol> <li>Consortium of any kind is not allowed</li> <li>Proposed Entities are not allowed.</li> </ol> </li> </ul>		
		<ol> <li>Individual/Proprietor/Limited Liability Partnership Firm (LLP) is not allowed.</li> <li>An applicant may have only one allotment from one Trust/Society/Company.</li> </ol>		
7.	purchase cost of the scheme brochure	Brochure Fee, INR 5500/- + GST		

8.	Processing Fee	Non-refundable/non-adjustable processing fee of INR 25,000/-
		(Twenty Five Thousand only) + GST shall be deposited through online portal of YEIDA or RTGS/NEFT by generating challan from
		YEIDA portal.
9.	Registration money for allotment of plot	Adjustable/refundable amount equal to 10 percent of total Premium of the plot forr which application is being submitted. The amount shall be deposited through Online portal of YEIDA or RTGS/NEFT by generating challan from the portal.
10.	Allotment Money	40 percent of total Premium of the plot after adjusting 10% Registration Money within 60 days of issuance of Allotment Letter without interest. Applicant would have an option to pay full and final payment of the total Premium of the plot within 90 days from the issue of Allotment Letter.  In case the due Allotment Money, as mentioned above, is not deposited within the stipulated period/extended period, the allotment of plot shall be cancelled, and 10% money deposited as Registration Money amount shall be forfeited.
11.	Payment of installment for the allotted plot	The payment of 60% of the Total premium shall be made in 04 (Four) equal half yearly installments along with interest at a rate of 10% per annum. In case of default in payment as per schedule, an additional penal interest @3% compounded half yearly with applicable GST shall be payable along with 10% + 3% = 13%p.a. on the defaulted amount and for the defaulted period. It shall be the responsibility of the allottee to deposit the due installment on due time. If the last date of deposit is a bank holiday, then the allottee shall deposit the installment on the next working day and it shall be treated as last date of deposit. Note:- Interest @ 10.0% per annum is applicable from 1 <sup>st</sup> July 2024 subject to the revision on 1 <sup>st</sup> January and 1 <sup>st</sup> July of each year as per G.O. No. 1567/77-4-20-36N/20 dated 09 June 2020.
12.	Processing Fee for Mortgage/ Collateral security permission	INR 5,000/- only + applicable GST
13	Transfer charges	Transfer charges are @5% of the prevailing Premium amount of
13.		plot or as per prevailing policy of the Authority at the time of transfer of plot.
14.	Period of lease	The allotment of plot will be made on leasehold basis for a period of 90 years from the date of execution of Lease Deed.
15.	Location charges	<ol> <li>In case the allotted plot is located on facing the green belts/parks location charges will be 5% of the premium.</li> <li>In case the allotted plot is located on corner location charges will be 5% of the premium.</li> <li>In case the allotted plot is located on 45 mtr or more wide roads (above 4000 Sq. Mtr.) location charges will be 5% of the premium.</li> <li>The location charges shall be payable by the allottee/lessee</li> </ol>
		@5% of the total premium of each preferential location subject to a maximum of 15% of the total land rate.

16.	Possession of the plot	i. YEIDA invites application form for allotment of institutional plots for lease period of 90 years, on the basis of actual acquisition & physical possession. Acquisition of land is			
		<ul> <li>under progress.</li> <li>ii. Execution of Lease Deed can be done only after a minimum payment of 40% of Premium and one year Lease Rent in advance with applicable GST.</li> <li>iii. Physical possession would be deemed to be handed over from the date of execution of Lease Deed.</li> </ul>			
17.	Amalgamation or Sub division of plot	No Amalgamation or sub-division shall be allowed on the allotted plots. The Allottee shall be solely responsible for the development/construction of all Proposed activities as approved by the Authority.			
18.	Norms of development	<ul> <li>i) Norms related to permissible FAR, Ground Coverage setbacks and permissible height shall be as per the applicable building regulations of YEIDA at the time of allotment.</li> <li>ii) Other norms for development/ construction shall be as per the applicable Building Regulations of YEIDA at the time of allotment.</li> <li>iii) No purchasable FAR will be allowed.</li> </ul>			
19.	Permissible development activity		nissible acti w details:	vities and supportir	ng facilities shall be as per
		Sr. No.	Category	Permissible Uses/Core Activities	Support Facilities
		1	Senior/Hi gher Secondar y School	Senior Secondary School, canteen, swimming pool, auditorium, library, indoor games hall, hostel	Retail shop for books and stationary, Uniform, chemist, bank extension counter/ATM, vending booth/kiosk, Staff housing
		2	Degree/P G/professi onal (MBA/ Engg etc.) college	Degree/P G/ professional (MBA / Engg etc.) college, Canteen, Swimming pool, Library, Auditorium, Indoor games Hall, Hostel	Guest house, Transit hostel, Retail shop for Books and Stationary, Chemist, Fruits and vegetables, general store; Personal service shop, Repair shop, vending booth/ Kiosk; Bank extension counter, Staff housing
		3	Vocational institute	Vocational training institute, canteen, swimming pool, auditorium, library, indoor games hall, hostel	Guest house, transit hostel, retail shops for books and stationary, chemist, fruits and vegetables, general store, personal service shop, repair shop, vending booth/kiosk, bank extension counter/ATM, staffhousing
		4	R & D Centre	Research and Development Centre, internet/ Information Centre, Hostel, Library	Auditorium, Canteen, Staff housing, Guest House, Transit hostel, Bank Extension counter, Health/Gym

#### Note:

- Maximum 25% of permissible FAR may be allowed for support facilities
- 2. R&D Centre will be a specialized facility where research & development work will be carried out to innovate design, test and improve products, services or processes. Its purpose will be to drive innovation, develop new technology & improve existing technology. It can be in the field of pharma, technology industry, automotive industry, consumer electronics, aerospace industry, energy industry, biotechnology, IT & computing, tele-communication, health care, food & food processing, retail & E-Commerce or any such similar specialized field.
- Allottee/Applicant has to follow all the norms/guidelines/ terms & conditions whichever applicable related to layout, construction, functional etc. on their type of allotment which are decided by any govt. agency or their regulatory body/authority.

#### 20 Rate of Allotment

#	Category					
1	Degree College, PG College, Management Institute/Technical Institute, Vocational College/Institute, Sports College/Sports Academy, Senior/Higher Secondary School, Integrated Residential Schools, Research And Development Centre.					
	Institute, Vocational College/Institute, Sports College/Sports					
	Academy, Senior/Higher Secondary School, Integrated					
	Residential Schools, Research And Development Centre.					

Sr.	Plot	Sector	Area in	Rate Per	Total Premium	Registration
No.	No.		Sq.Mtr.	Sq.Mtr.		Money
						(10% of total
						premium)
1	11A	17A	40400	11780.20	47,59,20,000.00	4,75,92,000.00
2	11B	17A	40495	11774.14	47,67,94,000.00	4,76,79,400.00
3	12	17A	83822	10385.68	87,05,48,460.00	8,70,54,846.00
4	40	22E	40490	11774.46	47,67,48,000.00	4,76,74,800.00
5	43	22E	40490	11774.46	47,67,48,000.00	4,76,74,800.00

Note: The rate of allotment is subject to the revision, if any.





#### 21. Rate of annual Lease Rent

- i. In addition to the Premium of plot, annual Lease Rent at the rates of 2.5% of the total Premium of plot with applicable GST, would be payable in advance. The Lease Rent is payable from the date of execution of the Lease Deed or the date of possession, whichever is earlier.
  - The Authority has the power to enhance the annual Lease Rent on expiry of every 10 years from the date of execution of the Lease Deed or handing over of the possession whichever is earlier, by an amount not exceeding 50% of the annual Lease Rent payable at the time of such enhancement.
- ii. Consequences of default in payment of Lease Rent: In case of default in payment of Lease Rent, interest @ 10% + 3% = 13% p.a. with applicable GST shall be charged on the defaulted amount for the defaulted period compounding half-yearly.
- iii. One time Lease Rent: The Allottee / Lessee shall have the option to pay a lump sum amount equivalent to 11 times, of the prevailing annual Lease Rent (27.5% of the total Premium of plot with applicable GST) at the time of deposit of one time Lease Rent in lump sum. The Lease Rent policy, as amended from time to time, shall be binding on the Allottee/Lessee.

Note:- If the allottee chooses the option to pay annual lease rent at the time of execution of lease deed, he/she can subsequently exercise his option to pay one time lease rent indicated above. If the Allottee opts for the payment of one time Lease Rent, the payments made towards annual Lease Rent earlier shall not be considered while computing the amount of one time Lease Rent. If the payments of due annual Lease Rent have not been made, they shall be paid first and shall not be considered in the computation of one time Lease Rent.

22	Construction Period
~~.	CONSTRUCTION FERIOR

Sr. No.	Area of Plot (in sq. m)	Minimu m %age of total Permissi b le FAR for first phase	Time limit for obtaining Completion Certificate of first phase (from the date of execution and registration of Lease Deed)	Time limit for obtaining Completion Certificate for full project (from the date of execution and registration of Lease Deed)
1	Up to 4,000	50%	3 Years	5 Years
2	4,001-10,000	40%	3 Years	5 Years
3	10,001-20,000	35%	3 Years	6 Years
4	20,001–1,00,000	30%	3 Years	7 Years
5	1,00,001-2,00,000	25%	4 Years	8 Years
6	2,00,001-4,00,000	20%	4 Years	9 Years
7	Above 4,00,000	15%	4 Years	10 Years

#### Note:

- 1. GST liability as per applicable rates at the time of payment shall be borne by the allottee itself under Reverse Charge Mechanism vide Notification N.13/2017 S. So.5, 5A dated 28.06.2017.
- 2. Interest @ 10.0% per annum is applicable from 1st July 2024 subject to the revision on 1st January and 1st July of each year as per G.O. No. 1567/77-4-20-36N/20 dated 09 June 2020.



#### 1. AVAILABILITY OF PLOTS:-

#### A. PLOTS IN SECTOR- 17A & 22E

Sr.	No.	Plot No.	Sector	Area in Sq.Mtr.		
	1	11A	17A	40400		
	2	11B	17A	40495		
	3	12	17A	83822		
	4	40	22E	40490		
	5	43	22E	40490		



#### Note:-

- 1. GST liability as per applicable rates at the time of payment shall be borne by the allottee it self under Reverse Charge Mechanism vide Notification N.13/2017 S. So.5, 5A dated 28.06.2017.
- 2. Interest @ 10.0% per annum is applicable from 1st July 2024 subject to the revision on 1st January and 1st July of each year as per G.O. No. 1567/77-4-20-36N/20 dated 09 June 2020.
- 3. Number of plots may increase/decrease as indicated in the above respective categories.

#### **B.** Qualification Criteria

#### Financial Eligibility Criteria:

- 1. Applicant should have positive net-worth as on 31.03.2024 as per audited annual account & Surplus investible funds equivalent to the cost of project.
- 2. Surplus investible funds equivalent to the cost of project either by way of promoter contribution, net surplus available from existing business or proposed loan from bank or financial institution (Approved by RBI) or loan from friends/relatives/family. The applicant will have to produce evidence of all sources of surplus investible funds. In case of loan in principal approval from the bank/financial instituions must be attached and in this inprincipal approval mentioned of project cost is mandatory.
- 3. In case loan for friends/relatives/family liqidity certificate from any nationalized/scheduled bank of such friends/relatives/family should be enclosed with supporting affidavit.

#### Note:-

- 1. It shall be the responsibility of the allottee to deposit the payment within time. If the last date of deposit is a bank holiday, then the applicant shall deposit the payment on the next working day and it shall be treated as last date of deposit.
- 2. After depositing the due payment through online portal of YEIDA or RTGS/NEFT by generating challan from YEIDA portal, the allottee shall be required to intimate the same to the authority through a written intimation along with e-mail.
- 3. In case any Applicant firm takes benefit of objective criteria (30 Marks against upfront payment of total premium of plot) regarding upfront payment then it has to furnish an Affidavit on non-judicial stamp paper of Rs.100/- duly attested by notary public, by the sole Applicant or by Each Member/partner/share-holder(s) that the firm shall pay full payment against total premium of plot within 90 days from allotment date (Annexure-7).

#### 2. SPECIAL TERMS AND CONDITIONS FOR ALLOTMENT

- a. How to apply
- i. The scheme brochure can be downloaded from the Authority Website www.yamuna yamunaexpressswayauthority.com.
- ii. The duly filled application form, all documents required, processing fee and registration money as given in Data Sheet shall be submitted online at YEIDA portal.

#### iii. PROCESSING FEE

Non-refundable/non-adjustable processing fee of INR 25,000/- (Twenty five Thousand only) plus applicable GST shall be deposited through online portal of YEIDA or RTGS/NEFT by generating Challan on or before closing date.

#### iv. REGISTRATION MONEY FOR ALLOTMENT OF PLOT

Adjustable/refundable amount equal to 10 percent of total Premium of the plot for which the application is being uploaded. The amount shall be deposited through online portal of YEIDA or RTGS/NEFT by generating Challan on or before closing date.

#### 3. Allotment Process

- 3.1 The application along with the requisite documents will be submitted online through website www.yamunaexpresswayauthority.com and the submitted application along with all documents will be downloaded by YEIDA for the purpose of Scrutiny/evaluation by the scrutiny committee. Scrutiny of Application: The application along with the requisite documents will be scrutinized by the scrutiny committee. If the applications are found to be incomplete/information is incorrect, the authority may reject the application. In case the applicant is unable to submit the required information within prescribed time, the application shall not be considered for allotment. Applicant requested to deposite documents carefully.
- **3.2** Allotment of Plots: The eligibility for allotment shall be decided on the basis of marks obtained as per objective Parameters given in Annexure-1.

To qualify for interview/Project Presentation applicant must score at least 60% (54 out of 90 marks) following which the applicant shall be called for project presentation. The successful applicant for allotment shall be decided on the basis of marks obtained in the objective parameter (as per Annexure-1) and allotment letter will be issued accordingly. Unsuccessful applicants will be refunded registration amount without interest within 01 year from date of project presentation.

- 1. For further inquiries, contact: Institutional Department, Yamuna Expressway Industrial Development Authority, First Floor, Commercial Complex, Block-P2, SectorOmega-1, Greater Noida, Uttar Pradesh, 201308 between 11.00 AM to 02.00 PM.
- 2. The YEIDA may, without assigning any reason, add one or more plots in the scheme and/or withdraw any one or all of the plots from the scheme at any stage. The size of the plot may be increased or decreased.
- 3. The YEIDA may accept or reject any offer or cancel the scheme, and its decision in this behalf shall be final and binding on the applicants.
- 4. There will be no correspondence on issues/ground raised in Disqualified applicants.
- 5. In case any Applicant firm takes benefit of objective criteria (30 Marks against upfront payment of total premium of plot) regarding upfront payment then allotment letter will be issued for successful applicant after the project presentation. The successful applicant has to deposit 100% payment of total premium of plot within 90 days of issuance of Allotment letter. If Allottee fails to do so the Allotment deemed to be cancelled.

#### 3.3 Extension of time limit for deposit of Money

No extension regarding time period will be allowed for the deposit of Allotment Money. In case of default in payment, the Allotment will be cancelled and Registration Money deposited will be forfeited by the Authority. However, in exceptional circumstances, the CEO may allow a time

extension of maximum 60 days with penal interest of 13% p.a. (10% + 03% = 13%) compounded half yearly for the defaulted period.

Note:- Interest @ 10.0% per annum is applicable from 1<sup>st</sup> July 2024 subject to the revision on 1<sup>st</sup> July of each year as per G.O. No. 1567/77-4-20-36N/20 dated 09 June 2020.

# 4. Language

i. The document for this scheme shall be in English language.

# 5. Applicant's responsibility

- 5.1 It is assumed that before submitting the application, the Applicant has made complete and careful examination of the following: -
  - The eligibility criteria and other information/requirements, as set forth in the Brochure
  - All other matters that may affect the Applicant's performance under the terms of this scheme including all risks, costs, liabilities and contingencies
  - Incomplete application or misrepresentations/suppression of the material facts may lead to cancellation before/after screening.
- 5.2 YEIDA shall not be liable for any mistake or error or negligence by the Applicant.

#### 6. DOCUMENTS REQUIRED FOR REGISTRATION WITH APPLICATION FORM

Following documents duly signed by the applicant and certified by Chartered Accountant on each page, should be enclosed with the application form for registration:

- a) Project Report including
  - Feasibility Report of the proposed project
  - Three years projected cash flow of the project depicting sources of inflow for the project
  - Statement of sources of funds
  - Land use pattern, construction plan and implementation schedule certified by the architect.
- b) Background of the Applicant and its promoters.
- c) List of Directors and key Shareholders along with their shareholding and shareholding percentage or list of partners/trustees. Board resolution for setting up the project.
- d) Audited Financial Statements of FY 2021-22, 2022-23 and 2023-2024
- e) Registration Certificate and bye laws
  - i. In Case of a Society
    - 1) Registration Certificate issued by the Registrar of Societies.
    - 2) Memorandum of Association of Society.
    - 3) Rules & Regulations of the Society.
  - ii. In Case of a Trust
    - 1) Registered Trust Deed.
  - iii. in case of company (No profit company reg. under section-8)
    - 1) Certificate of incorporation issued by ROC
    - 2) MOA & AOA

Copy of recent bank statement from any Nationalized Bank/ Scheduled Bank

- f) Following documents shall be required to be submitted with the application for establishing its source of financing:
  - (i) Photocopy of listed Company's Shares/NSCs/Bonds/FDRs.
  - (ii) In case of self finance Liquidity certificate from any Nationalized Bank/ Scheduled Bank
  - (iii) Surplus investible funds equivalent to the cost of project either by way of promoter contribution, net surplus available from existing business or proposed loan from bank or financial institution (Approved by RBI) or loan from friends/relatives/family. The applicant will have to produce evidence of all sources of surplus investible funds. In case of loan in principal approval from the bank/financial institutions must be attached and in this in principal approval mentioned of project cost is mandatory. Any in principal approval of loan not mentioning project cost will not be considered.
  - (iv) In case loan for friends/relatives/family liquidity certificate from any nationalized/

scheduled bank of such friends/relatives/family should be enclose with supporting affidavit in which the project cost of is mentioned.

- (v) Applicant firm has to furnish an Affidavit on non-judicial stamp paper of Rs.100/- duly attested by notary public, by the sole Applicant or by Each Member/ partner/share-holder(s) that the firm shall pay full payment against total premium of plot within 90 days from allotment date (Annexure-7).
- g) Affidavit of the applicant on <u>annexure-6</u>, certifying that all the statements made in application/Annexures are true and correct.
- h) Net worth (for the purpose of the annexure-3) from Financial Statement, where Net worth shall be calculated as below:
  - In case of a Trust: Corpus fund and General Fund taken together shall be considered as Net Worth of the Trust. Net Worth Statement certified by the statutory auditors/ Chartered Accountants of the Trust should be submitted.
  - (ii) In case of a Society: Corpus fund and General Fund taken together shall be considered as Net Worth of the Society. Net Worth Statement certified by the statutory auditors/Chartered Accountants of the Society should be submitted.
- (i) In case of a Company: Net Worth = Paid up share capital (excluding share application money) plus Reserves and surpluses (excluding revaluation reserve) less Preliminary and pre-operative expenditure; less Miscellaneous expenditure to the extent not written off; less accumulated losses; less intangible assets. (Figures are to be taken from the last audited balance sheet of the Company) Net Worth statement certified by the statutory auditors of the Company should be submitted.
  - **1. Note:** Applicant should have positive net-worth/surplus investible funds. Net worth of sister company, parent company etc. will not be considered.
    - (i) Turnover Certificate duly attested by Chartered Accountant having Turnover of FY 2021-22, 2022-23 and 2023-2024.
- (j) Affidavit of the applicant certifying whether he is applying for the first time or he has applied earlier also and in that case give details of his earlier applications and the decision of Authority thereon **annexure-8**.
- (k) Any other information which the applicant desires to provide.
- $(I) \quad \textit{Grounds of rejection of application}$

Incomplete Application or misrepresentation/suppression of material facts may lead to cancellation/rejection before/after screening.

#### (I) Payment at Allottee's Own Risk

Authority will accept each and every payment made by the allottee at allottee's own risk and responsibility. In case the allottee violates any condition of allotment, the rights of the Authority will not be affected in any way. No right shall accrue to the allottee, if the plot allotted/handed over to the allottee is cancelled, despite the fact that the allottee has made the entire or partial payment to the Authority.

#### (ii) Adjustment of deposited payments

The Payment made by the Allottee/lessee shall first be adjusted towards the penal interest, normal interest due; if any, and then against lease rent due and thereafter the balance shall be adjusted towards the installment due.

#### (iii) Variation in the rate of Acquisition/Purchase

In case of any increase in the rate of land acquisition/purchase by order of any Court or by the board or by the Authority or by the State Government, the allottee will have to pay the additional amount proportionately as the cost of the land and all other terms and conditions prevalent at the time of allotment shallbe applicable.

#### 7. Difference in the area of land allotted:

- a) The area of the plot allotted or handed over may vary from the size of the plot in Allotment Letter/applied for. If area of the plot in the Allotment Letter issued and actual area handed over to the Allottee / lessee is found to be more or less than the area intimated, a proportionate change in the amount of the Premium and lease rent with applicable GST would be made. The Allottee has to accept the allotment, if the variation in the size of plot is up to 10% of the area applied for. No dispute/ objection of the lessee would be entertained by the Lessor on the ground of variation in the size of plot. Allottee/ lessee would have also no right for change of plot or refund of money deposited by him on this account. If the variation between the plot area applied for and the area allotted is more than 10% and Allottee is unwilling to accept the enhanced or reduced area, the Allottee would have the right to decline the acceptance of the allotment, in such case the deposits made to YEIDA would be refunded without interest, provided that the Allottee applies for refund within 30 days from the date of issue of Allotment Letter or within 30 days from the date of issue of checklist as the case may be.
- b) In case the actual area of allotted plot is found to be more than the allotted area, the additional area shall be allotted at the current prevailing allotment rate or the allotment rate as mentioned in the allotment letter whichever is more & the allottee shall be required to deposit full premium & one time lease rent of additional area within 60 days from the date of communication.
- c) The Allottee/Lessee shall not claim/be entitled for any benefit/ relaxation on the ground that the contiguous land has not been made available/handed over. In such an event, the due date of payment of installment shall not be changed in any case and Allottee/Lessee shall have to pay due installment along with interest on due date.
- d) Variation in the cost of land: In case of any increase in the rate of land acquisition/land purchase cost/ex-gratia/No-litigation incentive to the farmers by order of the Court, by the Authority or by the State Government, the Allottee/Lessee will have to pay the additional amount proportionately as the cost of the land and all the terms and conditions prevalent at the time of allotment shall be applicable. In case of default in payment, penal interest @ 10% +3% = 13% per annum compounded half yearly with applicable GST shall also be payable by the allottee/lessee on the defaulted amount and for the defaulted period.

# 8. Change in the name of applicant

Application made once in the name of Applicant shall not be changed, thus any Change in the name of the applicant will not be allowed under any circumstances. However, registered society trust, the company and firm applicants may change their name as allowed to them as per the provisions of the Companies Act, Society, Trust, and Partnership Act and as per prevailing policy of the Authority with prior permission of authority.

# 9. Change of category/project

The change in category/ project will not be allowed. The use of land is solely for aforesaid purposes only.

# 10. Unsuccessful applicants

The REGISTRATION MONEY of unsuccessful applicants shall be returned to them without interest, if the period of deposit of such money with the authority is less than one year. However, if the period of deposit is more than one year, simple interest shall be paid at SBI saving bank's interest rate for the entire period of deposit.

# 11. Special conditions

#### a. Implementation

- i. Norms of Development as per Building Regulations of YEIDA.
  - ii. The Allottee will commence the construction after taking over physical possession of the plot as per duly approved building plan and inform in writing to YEIDA about timely

- completion of the approved project.
- iii. The Allottee/ Lessee / Transferee will adhere to the schedule of construction of the building as per Building Regulation of YEIDA.
- iv. The Allottee shall be liable to complete the project as per the schedule given by him in the land use pattern of the project report and and shall inform the Authority in writing in the prescribed format.
- v. If Completion Certificate for full project (as mentioned in the data sheet from the date of lease/ possession) is not taken by the Allottee as per the schedule, then time extension charges shall be payable by the Allottee as decided by YEIDA.
- vi. In case of non- adherence to the aforementioned schedule for obtaining Completion Certificate from YEIDA, the plot shall be cancelled and/or lease shall be determined. On such cancellation/ determination, 40% of the total Premium will be forfeited and the lessor shall resume possession of the plot, along with any structure thereon, with the Allottee having no right of claim or compensation thereof. The balance amount deposited shall be refunded without any interest. However, the amount deposited towards lease rent, interest, penal interest, extension charges etc. shall not be refundable at any stage.

# 12. Extension of time for completion

#### i. For first phase

Period for completion of first phase of the project has been mentioned in the data sheet. In first phase allottee shall complete land and internal development and develop mimimum FAR as per the plan If the allottee/lessee fails in completing the first phase within the prescribed time limit then extension for 24 months may be granted for first phase on payment of extension charges given below:-

S. No.		Time extension charges
1	For fourth year the penalty shall be	1% of the total premium of the plot on pro rata basis calculated half yearly. (on the basis of minimum % of total permissible FAR of first phase)
2	For fifth year the penalty shall be	2% of the total premium of the plot on pro rata basis calculated half yearly. (on the basis of minimum % of total permissible FAR of first phase)

#### ii. Completion For full projects

Period for completion of full project has been mentioned in the data sheet. If the allottee/lessee fails in completing the project within the prescribed time limit then extension for 24 months may be allowed on payment of extension charges given below:-

For Size up to 10000 Sq.Mtr.:-

S. No.		Time extension charges
1	For sixth year the penalty shall be	3% of the total premium of the plot calculated half yearly.
2	For seventh year the penalty shall be	4% of the total premium of the plot calculated half yearly.

#### For Size up to 20000 Sq.Mtr.:-

S. No.		Time extension charges
1	For sixth year the penalty shall be	3% of the total premium of the plot calculated half yearly.
2	For seventh year the penalty shall be	4% of the total premium of the plot calculated half yearly.

#### For Size up to 100000 to Sq.Mtr.:-

9	S.		Time extension charges
N	lo.		<b>J</b>
1	L	For Eighth year the penalty shall be	3% of the total premium of the plot calculated half yearly.
2	2	For Ninth year the penalty shall be	4% of the total premium of the plot calculated half yearly.

After that no extension will be granted for construction of the project and the the allotment / lease can be cancelled/ determined. On such cancellation/determination, 40% of the premium of the plot amount or any other rate, as may be decided by the Authority from time to time, will be forfeited and the lessor shall resume possession of the plot, along with any structure thereon, with the lessee having no right of claim or compensation thereof. The balance amount deposited shall be refunded without any interest. However, the amount deposited towards lease rent, interest, penal interest, extension charges etc. shall not be refundable at any stage.



#### 13. Functional Certificate

It will be essential for lessee to obtain functional certificate from the authority within 6 months from the date of the issue of completion certificate. Following documents are required to be submitted to obtain functional certificate-

- No dues certificate
- Any two Utility Bills (i.e. first electricity bill of permanent connection or documents for installation of permanent connection/telephone bill/water charges bill)
- Meter ceiling certificate of electricity connection.
- Building Completion Certificate
- In case of non-affiliating institutions, a proof of running classes is to be submitted.
- Copy of bills for furniture/ books/ equipment/ Plant & Machinery/ Lab as per project report submitted
- Only registration certificate of any department of Govt. of India or any documentary evidence equivalent thereto would be enough for declaring the unit functional for School/College/ institute indicated the unit functional.
- Lease Deed/ Transfer Deed / Sale Deed as applicable has been executed and registered and its certified copy duly submitted in the Authority's Office.
- CEO or its authorized officer may ask for any other document for satisfaction of that projectis functional.

#### Penalty for non - obtaining of Functional Certificate-

In case of failure to obtain functional certificate within 6 months from the date of issue of Completion Certificate of the full project, the allottee would be required to pay penalty @ 4% of the total premium upto 1 year calculated on monthly pro-rata basis.

In case, lessee fails to complete the building and commence the activity for which the plot has been allotted, within the time period, or extended time period for the purpose, the allotment / lease can be cancelled/determined. On such cancellation/determination, 40% of the total premium of the plot or any other rate, as may be decided by the Authority from time to time, will be forfeited and the lessor shall resume possession of the plot, along with any structure thereon, with the lessee having no right of claim or compensation thereof. The balance amount deposited shall be refunded without any interest. However, the amount deposited towards lease rent, interest, penal interest, extension charges etc. shall not be refundable at any stage.

#### 14. General terms and conditions

#### a. Execution of Lease Deed

- i. YEIDA shall be required to issue a check list for the execution of Lease Deed to the Allottee within 15 days from the date of receiving the lease plan from the concerned department.
- ii. The Allottee is required to execute the Lease Deed and take the physical possession within 60 days from the date of issue of the checklist.
- iii. In case of failure to execute the Lease Deed and taking over of possession within the above- stipulated/extended time period, the allotment shall be cancelled and 40% of the premium of the plot would be forfeited. The amount deposited towards lease rent, interest, penal interest, extension charges etc. shall not be refundable at any stage.
- iv. In exceptional circumstance, the CEO may grant extension of time up to a maximum period of 180 days for execution of Lease Deed and taking over of possession. This extension shall be granted on the basis of payment equivalent to 2.5% of total Premium with applicable GST for the delayed period on day to day basis. Further extension for the execution of Lease Deed shall not be provided in any circumstances and action shall be taken as per the provision of 14a.

- v. **Documentation charges:** The stamp duty, registration charges and all legal expenses involved in the execution and registration of lease deed as stated above and all other incidental expenses shall be borne by the allottee.
  - The rate of stamp duty shall be applicable as per the notification issued by the state government from time to time.
- vi. Period of lease: Allotment of plot will be made on leasehold basis for a period of 90 years from the date of execution of Lease Deed.

#### a. Lease Rent

In addition to the premium of plot, annual lease rent at the rates of 2.5% with applicable GST of the total premium of plot, would be payable in advance. The lease rent is payable from the date for the execution of the lease deed or the date of possession, whichever is earlier.

The Authority will be empowered to enhance the annual lease rent on expiry of every 10 years from the date of execution of the lease deed or handing over of the possession whichever is earlier, by an amount not exceeding 50% of the annual lease rent payable at the time of such enhancement.

#### Consequences of default in payment of Lease Rent

In case of default in payment of lease rent, interest @ 13% p.a. (10% + 3% = 13%) plus GST on penal Interest applicable shall be charged on the defaulted amount for the defaulted period compounding half-yearly.

**Note:-** Note:- Interest @ 10.0% per annum is applicable from 1st July 2024 subject to the revision on 1st January and 1st July of each year as per G.O. No. 1567/77-4-20-36N/20 dated 09 June 2020.

#### 15. One time Lease Rent

The allottee/lessee shall have the option to pay a lump sum amount equivalent to 11 times of the prevailing annual lease rent (27.5% of the total premium of plot) with applicable GST at the time of deposit of one time lease rent in lump sum. The lease rent policy as amended from time to time shall be binding on the allottee/lessee.

If the allottee opts for the payment of one time lease rent, the payments made towards annual lease rent earlier shall not be considered while computing the amount of one time lease rent. If the payments of due annual lease rent have not been made, they shall be paid first and shall not be considered in the computation of one time lease rent.

# 16. Location/Preferential charges

i. It has been clearly mentioned in the data sheet.

# 17. Possession of the plot

- i. Possession of allotted plot will be handed over to the Allottee/ Lessee after execution and registration of Lease Deed.
- ii. Execution and registration of Lease Deed can be done only after a minimum payment of 40% of Premium and one year Lease Rent, in advance.
- iii. For the purpose of payment of Lease Rent and other statutory/obligations or scheme compliance, the date of physical possession shall be treated from the date execution of Lease Deed.

#### 18. Surrender

- The allottee can surrender the plot before cancellation to the lessor.
- 1.1 Before issue of allotment letter, within 30 days from the date of issuance of Allotment Letter, REGISTRATION MONEY shall be forfeited.
- 1.2 In case the allotment is surrendered after 30 days of issuance of Allotment Letter and Lease Deed execution, the total deposited amount or 40% of total premium of plot, whichever is less, will be forfeited by the Authority. Balance amount after recovering the lease rent till date of

surrender and adjustment of all dues of the authority, if any, and after forfeiting the amount as indicated above, will be refunded without interest, However, the amount deposited towards lease rent, interest, penal interest, extension charges etc. shall not be refundable at any stage.

Note:- The date of surrender application in above case shall be the date on which application is received at the authority office. No subsequent claim on the basis of postal certificate will be entertained.

- 2 The request for surrender should contain signatures of allottee / lessee along with:
- 2.1 In case of incorporated company/society/charitable society/trust the request should be supported by the Certified Copy of the Resolution of Board of Directors / Executives.
- 2.2 The allottee has to execute surrender deed, if lease deed/transfer deed has been executed then all the original legal documents are to be surrendered unconditionally to the authority.
- 2.3 The date of surrender in above case shall be the date on which application is received at the authority office.. No subsequent claim on the basis of postal certification will be entertained.
- 2.4 A cancelled cheque of allottee has to be submitted in the Authority
- 2.5 The amount deposited towards lease rent, interest, penal interest, extension charges etc. shall not be refundable at any stage.

# 19. Change in Constitution (CIC)/Change in Shareholding (CIS)

- 1. Change in Constitution (CIC)/Change in Shareholding (CIS) may be allowed by the CEO or its authorized officer of YEIDA on completion of required formalities as per the prevailing policy of the YEIDA at the time of Change in Constitution (CIC)/Change in Shareholding (CIS).
- 2. In case of change in CIC/CIS of the Allottee, the Allottee must submit the application to the Authority within 45 days of implementation of the change. In case the Allotttee fails to submit the application within 45 days, penalties may be imposed as per the prevailing policy.

#### 20. Construction

- i. The Allottee/ Lessee shall construct the building after getting proper approval of the building plans from the concerned department of YEIDA in writing.
- ii. The Allottee/ Lessee shall complete construction within prescribed time limit from the date of execution of Lease Deed and registration of the same with Sub- Registrar or extended period by YEIDA.
- iii. The Allottee/ Lessee shall complete construction of buildings as per approved plans and obtain completion/ occupancy certificate from YEIDA for minimum area as prescribed in Building Regulations as given in the Data Sheet.

#### 21. Maintenance

- a. The lessee at his own expense will take permission for sewerage, electricity and water connections from the concerned departments of the authority or from the competent Authority in this regard.
- b. The Lessee shall have to plan a maintenance programme whereby the entire demised premises and buildings shall be kept:
  - (i) At all times in a state of good condition and in good sanitary condition to the satisfaction of the Lessor.
  - (ii) And to make available required facilities as well as to keep surroundings neat and clean, good and healthy and in safe condition at all times, according to the convenience of the inhabitants of the place.
- c. That the lessee shall abide by all Regulations, Bye laws, directions and Guidelines of the authority framed/issued under section 8, 9 and 10 or under any other provisions of the U.P. Industrial Area Development Act 1976 and the rules made therein
- d. If the maintenance work of any area is not found satisfactory according to the authority, then the required maintenance work will be carried out by the authority and all the expenses in carrying out such work shall be borne by the lessee.

- e. That the lessee shall not display or exhibit any posters, statues, other articles which are repugnant to the morals or are indecent or immoral.
- f. The lessee shall also not display or exhibit any advertisement or placard in any part of the exterior wall of the building, except at a place specified for the purpose by the lessor.
- g. In case of noncompliance of these terms and conditions, and any directions of the authority, the authority shall have the right to impose such penalty as the CEO or the authorized Officer of the authority may consider just and/or expedient.

#### 22. Mortgage

- i. The mortgage permission shall be granted after payment of minimum 40% of the total Premium, in favour of a scheduled Bank/Govt. organization/financial institution approved by the Reserve Bank of India for the purpose of raising resources, for construction on the allotted plot and balance payment against the allotted plot, provided the allotment/lease of the plot is neither cancelled nor any show cause notice has been issued to the Allottee/Lessee and has a valid time period for construction as per terms of the Lease Deed or has obtained valid extension of time for construction as the case may be and has cleared up to date dues of the plot Premium and Lease Rent.
- ii. YEIDA shall have the first charge on the plot towards payment of all outstanding dues.
- iii. In the event of sale or foreclosure of the mortgaged/charged property, YEIDA shall be entitled to claim all dues YEIDA may recover not more than 50% or as decided by the authority, of the unearned increase in values of properties in respect of the market value of the said plot as first charge, having priority over the said mortgage charge. The decision of the authority in respect of the market value of the said plot shall be final and binding on all the parties concerned.
- iv. YEIDA shall have right to the recovery of the unearned increase and the pre-emptive right to purchase the property as mentioned herein before shall apply equally to involuntary sale or transfer, be it bid or through execution of decree of insolvency from a court of law.
- v. Documents required for obtaining Mortgage Permission Application can be submitted along with the following documents:
  - i. No dues certificate issued by the concerned Accounts Officer, or an undertaking by bank/institution for payment of the total due amount directly to YEIDA.
  - ii. A letter from bank/institution that the grant of loan is under consideration
  - iii. An affidavit that no unauthorized construction has been done by the allottee/lessee/transferee.
  - iv. Processing fee Rs 5,000/- with applicable GST is required to be deposited by the Allottee/ Lessee/Transferee in case of Mortgage permission is sought.
  - v. A copy of the resolution passed by Board, in case of Trust/Society etc.
  - vi. Any other documents as required by the CEO or any authorized officer, YEIDA from time to time.
  - vi. **Collateral Security:** The Lessee has to make full payment of total premium and other dues of plot and make unit functional. The processing fee of Rs. 5000/- with applicable GST has to be deposited for the permission of collateral security. An application along with bank challan of deposited processing fee amount with applicable GST and letter of bank for permission of collateral security has to be submitted to concerned department of authority.

#### 23. Transfer of Plot

- 1. Subject to the following conditions a lessee will be allowed to transfer a unit located on a plot allotted by the Authority to any other person who is eligible to apply in this scheme as mentioned above.
- 2. Transfer shall be permitted only after the unit has been declared functional by the authority.
- 3. The cases, where cancellation has been effected or are in process of cancellation, are not eligible for transfer.

- 4. Application for transfer shall be received on the prescribed Transfer Application Form available from the relevant department of the authority, along with the transfer processing fee, which shall be Rs.10,000/- with applicable GST to be deposited in prescribed bank account of Authority.
- 5. The Transfer Application form should be duly filled along with the NOC's from various departments i.e. Project division, No dues from the Accounts/ Institutional department, last paid electricity bill (In case all original as well as subsequent legal documents are submitted, then NOC from Bank/ Financial Institution is not required).
- 6. Photograph & signature of transferor(s)/ Transferee(s) must be attested by the Bank Manager on the application form itself. In case of companies, certified copy of Resolution of Board of Directors authorized the signatory for moving the transfer application should also be submitted with application.
- 7. Both transferor and transferee must be competent to contract on the date of transfer application.
- 8. The plot shall be transferred for same purpose as allotted.
- 9. Transfer of partial area of plot shall not be considered.

#### 24. Charges for Transfer

- 1. Transfer charges are @5% of the prevailing Premium amount of plot or the total premium of the plot mentioned in the allotment letter whichever is more at the time of transfer or as per prevailing policy of the Authority at the time of transfer of plot.
- 2. Transferor and transferee should severally and/or jointly satisfy themselves about the overdue/dues position from the Institutional/Industrial Department of the authority.
- 3. Transfer charges once deposited will not be refunded/ adjusted even in case of transfer does not materialize due to dispute between the parties or withdrawal of transfer application. Once the transfer application is submitted it can be withdrawn only with the consent of the transferor and the transferee. In case of dispute, orders of the competent court shall be required for withdrawal of the transfer application/Transfer Memorandum.
- 4. Once transfer deed is executed, all the assets and liabilities would pass on to the transferee.
- 5. Lease rent will be charged @2.5% annually of the premium on the date of issue of transfer memorandum subject to enhancement as envisaged in Lease Deed/ Transfer Deed/ Transfer Memorandum. In case lease rent has been paid one time in lump sum earlier. The lease rent policy as amended from time to time, shall be binding on the Allottee/Lessee.
- 6. In case of transfer of rights of a minor, even partial, orders of the District Judge are required regarding the protection of interest of the minor.
- 7. Transfer of property by Allottee/Transferee directly, to his/her Blood relation as prescribed below: Father, mother, brother, sister, son, daughter, husband, wife & grandchildren, would be allowed without charges, subject to payment of processing fee of Rs. 10,000 with applicable GST.
- 8. The transfer of the plot is an act between transferee(s) and transferor(s) and as such any liens, claims, damages, compensation, adverse court orders etc. arising thereof subsequently would be the sole liability of transferee(s) and the authority would remain indemnified against the same.
- 9. The transferee shall execute a Transfer deed, after paying the transfer charges, within 90 days from the date of issue of the Transfer Memorandum by the authority and a certified copy of the same shall be submitted to the authority after the registration of the same with the sub Registrar, of the authority. The Transfer Memorandum shall be part of the transfer deed executed between the Transferor and the Transferee. In case transferor and Transferee fail to execute transfer deed within 90 days, transfer memorandum will automatically stand ineffective.

10. In case of transfer / sale by financial institution under section-29 of State Financial Corporation Act/ by bank under SARFAESI Act, the application has to be moved by the financial institutions/bank along with all NOC's required in the transfer application form. In such case transfer charges at the rate of 5% of the sale value should be levied or as decided by the Authority, from time to time.

#### 25. Issue of Mutation Letter:

Application can be submitted by the Transferee at the concerned department along with the following documents:

- i. A certificate copy of the Transfer Deed duly executed by the Transferor.
- ii. Copy of challan against payment of transfer charge with applicable GST in the Authorized bank shall be required.

#### 26. Misuse, Additions, Alterations, etc.

- a. The allottee / lessee shall not, use the plot for any purpose other than that for which it has been allotted / leased. The lessee / allottee shall not be entitled to divide the plot or amalgamate it with any other plot without the prior written permission of Chief Executive Officer or the Authorized Officer of the authority. In case of violation of the above conditions, allotment shall be liable to be cancelled and possession of the premises along with structure thereon, if any shall be resumed by the Authority.
- b. The Lessee will not make, any alteration or additions to the said building on the demised premises, erect or permit to erect any new building on the demised premises without the prior written permission of the Lessor and in case of any deviation from such terms of plan he/she shall immediately upon receipt of notice from the Lessor requiring him to do so, correct such deviations as aforesaid.
- c. If the Lessee fails to correct such deviations within a specified period of time after the receipt of such notice, then it will be lawful for the Lessor to cause such deviation to be corrected at the expense of the Lessee who shall bound agrees to reimburse by paying to the Lessor such amounts as may be determined and demanded by the authority in this regard.

#### 27. Indemnity

- a. The Allottee/Lessee shall be wholly and solely responsible for the implementation of the Project and also for ensuring the quality of development/construction, subsequent operations and maintenance of facilities and services, till such time that an alternate agency for such work is identified and legally appointed by the Allottee/Lessee. The Allottee/Lessee shall execute an indemnity bond (Annexure-5), indemnifying YEIDA against all disputes arising out of
  - i. The non-completion of work
  - ii. The quality and validity of development, construction, operations and maintenance
  - iii. Any legal dispute arising out of allotment, lease and/or sub-lease to the final purchaser

#### 28. Liability to Pay Taxes

a. The Allottee/Lessee will be liable to pay all rates, taxes, charges, user fee and assessment of every description imposed by any authority empowered in this behalf, in respect of the plot, whether such charges are imposed on the plot or on the building constructed thereon, from time to time.

# 29. Overriding Power over dormant properties

The lessor reserves the right to all mines, minerals, coals, washing gold, earth oils, quarries in or under the plot and full right and power at any time to do all acts and things which may be necessary or expedient for the purpose of searching for, working and obtaining, removing and enjoying the same without providing or leaving any vertical support for the surface of the plot(s) or for the structure time being standing thereon, provided that, the lessor shall make reasonable compensation to the allottee /lessee for all damages directly occasioned by exercise of the rights

hereby reserved. The decision of the CEO or the Authorized Officer of the authority on the amount of such compensation will be final and binding on the applicant.

#### 30. Cancellation

In addition to the other specific clauses relating to cancellation the Authority shall be free to exercise its rights of cancellation of allotment in the case of:

- a. Allotment being obtained through misrepresentations/suppression of material facts.
- b. Any violation of directions/rules issued by the authority or any other statutory body.
- c. Default on the part of the applicant allottee / lessee for breach/violation of terms and conditions of registration allotment/lease deed/or non-deposit of allotment money/acceptance money/ Non deposit of three consecutive instalment money. In the event of cancellation, under sub-clause (a) above, the entire deposits till the date of cancellationshall be forfeited and possession of the Plots will be resumed by the authority / lessor with structure thereon, if any, and the allottee / lessee will have no right to claim compensation thereof.

In the event of cancellation, under sub-clause (b) & (c) above, 40% of the total premium or the amount deposited upto the date of cancellation, whichever is the least, shall be forfeited and balance here too, if any, shall be refunded without any interest and possession of the plot will be resumed by the authority/lessor with structure thereon if any, and the allottee/lessee will have no right to claim compensation thereof. The amount deposited towards lease rent, interest, penal interest, extension charges etc. shall not be refundable at any stage.

#### 31. Restoration

YEIDA can exercise the power of cancellation of plots for breach of terms and conditions of allotment/Lease Deed/Transfer Deed. However, if the Allottee/ Lessee applies for restoration of the plot, CEO of YEIDA can restore the plots, subject to the following conditions:

- a. The application for restoration may be submitted to the authority within a period of 3 months from the date of cancellation.
- b. The Allottee/Lessee would pay restoration charges at the rate of 10% of the total Premium with applicable GST of the plot at current rate calculated at the time of restoration within 30 day after issuing the letter by YEID.
- c. The Allottee will have to make up to date payment, dues, penalties & interest etc. as applicable.
- d. The Allottee will submit project implementation schedule in the shape of affidavit.
- e. The Allottee has to submit bank guarantee in the form of Performance Guarantee of Project Implementation Schedule given by him, which shall be valid for a duration of 3 months more than the Project Implementation Schedule and the value of Performance Guarantee will be 10% of the prevailing price of the plots or the allotment rate of the plot mentioned in the allotment letter whichever is more.
- f. If there is any court case pending before any court, it has to be withdrawnby the Allottee. All legal expenses shall be borne by the Allottee.
- g. In case allotment had been cancelled due to non-permissible activities, the request for restoration of the plot shall only be considered on submission of notarized affidavit for non-carrying out the non-permissible activities. Further an inspection of the site about the same will be done by YEIDA before restoration.
- h. In case of restoration in prepossession cases, the Allottee shall be required to get the unit functional as per terms of the Lease Deed. In such case, they will have to comply with the clause as stated above.

# 32. Amalgamation and sub-division

No amalgamation and sub-divison shall be allowed.

#### 33. SPECIAL TERMS & CONDITIONS:

- a. The applicant should have a wide experience of the project and its financial status should be sound.
- b. The fee structure of the school should meet the expectations of all categories of the society especially of lower income group.
- c. The allottee should allow full fee concession to atleast two students in each class on merit & income basis and allow other two student 50% concession in fees. In this category the children of Yamuna Expressway Industrial Development Authority employees/officers would also be eligible.
- d. The allottee shall be required to give minimum 75% admission of the children of resident's of YEIDA notified area, out of which 10% seats shall be reserved for wards of erstwhile land owners whose land hase been acquired by YEIDA at nay point of time. However, this term can be relaxed under special circumstances with permission of CEO, YEIDA.
- e. The allottee shall be required to give admission to atleast two students in each section of each classes, recommended by YEIDA Authority. In this category the children of YEIDA Authority employees would also be eligible.
- f. The allottee shall be required to get school recognized/affiliated by/to any State/Central Govt. Board/Council Within three years from the date of functioning.
- g. The Lessee shall ensure that 20% students from farmer's family will be admitted in the inception classes on the basis of eligibility and nominated by the Chief Executive Officer/his nominee of the Lessor. The fees concession for such students shall be 25% of the gross fees every year.
- h. Besides the provision in clause (1.7) above, two economically weaker students in each year will be admitted on recommendation of the District Magistrate, Gautam Budh Nagar, The fee concession for such students will be 50% of the gross fees in each year.
- i. In case a student from a farmer family drops or leaves the school in mid-session then that vacancy will be filled only on recommendation by the CEO/his nominee of the Lessor only. The Schools will intimate such vacancies as soon as it occurs so that recommendation by the CEO/his nominee is accorded.
- j. The school will provide a Column in its admission form to recognize such students which will be filled on the basis of the certificate issued by the Authority to the effect.
   This is to clarify that "farmer" means a person whose land is acquired by the Authority.

#### 34. Other Clauses

- i. That the CEO reserves the right to make such amendments, additions, deletions and alterations in the terms and conditions of allotment, lease, building bye-laws as it finds expedient and such amendments, addition, deletion and alterations shall be binding on the allottee / lessee.
- ii. If due to unavoidable circumstances the authority could not allot the plot, the REGISTRATION MONEY deposited by applicant would be refunded in due course. However, no interest on the deposits will be paid to the applicant. Excluding processing fees.
- iii. If due to circumstances beyond the control of authority the possession of plot is not handed over to the allottee, the full amount deposited by the allottee would be refunded along with the simple interest at SBI saving bank's rate. Excluding processing fees and penalty.
- iv. In case of increase in the compensation/ex-gratia to farmers by the order of Court/ Govt./authority or otherwise, the increased amount on proportionate basis will be recovered from the allottee/Lessee of the Land.
- v. In case of any dispute in the interpretation of any word or terms and conditions of the allotment/lease, the decision of the CEO shall be final and binding on the allottee / lessee and his / her / their successor.
- vi. The authority will monitor the implementation of the project. Applicants who do not have a firm commitment to implement the project within the time limits prescribed are advised not to

- avail the allotment.
- vii. That the lessee and his / her / their successors shall abide by the provisions of the U.P. Industrial Area Development Act 1976 (U.P. Act No. 6 of 1976) and such rules, Regulations or directions as are made issued there under from time to time.
- viii. Any dispute between the lessor and lessee shall be subject to the territorial jurisdiction of Civil Courts at Gautam Budh Nagar or the High Court at Allahabad.
- ix. The allotment will be accepted by the allottee on "As is where is Basis". The allottee is advised to visit the site before submission of application form/interview for allotment.
- x. Provisions related to the fire safety environmental clearance, NGT directives shall be observed by the allottee. Necessary approvals shall be obtained from the Competent Authority by the allottee.
- xi. In case a link road comes anywhere in the plot area, it shall be retained by the allottee /lessee till an alternate arrangement is made by the authority.
- xiii. All arrears due to the Lessor are recoverable as arrears of land revenue.
- xiv. The lessee shall not be allowed to assign or change his role, otherwise the lease shall be cancelled and entire money deposited shall be forfeited.
- xv. The authority in larger public interest has the power to take back the possession of the land/building by making payment at the prevailing rate after giving the allottee/lessee an opportunity of being heard, the decision of the CEO of the authority shall be final and binding on the allottee/lessee in this regard.
- xvi. In the event of an increase in the cost of acquisition ex post facto acquisition, for the reasons of orders made by courts of law, or for any other reason, the allottee(s) of the concerned plot/parcel of land shall be duty bound to share the increased cost in such a ratio as the authority/lessor may determine.
- xvii. Any clause not contained in this brochure or ambiguous, that shall be governed by the terms and conditions of the institutional Brochures of YEIDA.
- xviii. Clause regarding first charge and security interest:-The amounts payable pursuant to or in connection with or in relation to the allotment of the plots (including but not limited to) the installment of the Premium, the lease rent, the interest, if any, payable thereon. As also all other charges payable under the terms of allotment and the lease Deed for the plot shall constitute and interest in the plot allotted and leased to the allottee, and any claim on account of these amounts shall be the first charge on the plot. The Authority shall have the lien over the sale proceeds of the said plot for the purpose of realization and recovery of these amounts and the Authority's claim for the said amounts shall have priority over any other claim against or charges over the said plot.

#### xix. CLAUSES REGARDING EVENTS OF DEFAULT AND TERMINATION

#### 1. EVENTS OF DEFAULT

The occurrence of any of the events specified shall constitute an event of default (the "Events of Default").

- (i) Payment and Other Defaults
- (a) Any default by the Allottee in payment of any instalment of the Premium and Lease Rent on the respective due dates.
- (b) Any default by the Allottee in the making of any interest payment under the terms of allotment and the Lease Deed on the respective due dates.
- (c) Any default has been committed in the payment of any other due payable pursuant to the terms of the allotment or under the terms the Lease Deed on the respective due dates.
- (d) Creation or any attempt to create any security interest over the Plot or any part thereof which inconsistent with or in derogation of the Authority's first charge thereon.
- (e) The Allottee has sold, disposed of, charged or encumbered or alienated the Plot except as otherwise permitted under the terms of allotment and the lease.
- (f) Failure to notify the Authority in terms of Clause 1.2 below.
- (ii) Proceedings

#### **Insolvency Proceedings**

(a) Filing of application or petition for initiation of insolvency proceeding under any provision of Insolvency and Bankruptcy Code by the Allottee or its creditor(s)

#### **Other Proceedings**

Any legal proceedings is initiated or any order is obtained in relation to

- (b) The suspension of payments, a moratorium of any indebtedness, bankruptcy, insolvency, dissolution, administration, provisional or reorganization (by way of voluntarily arrangement, scheme of arrangement or otherwise) of the Allottee.
- (c) The appointment of a liquidator, receiver, administrator or other similar officer in respect of the Allottee.
- (d) Any analogous procedure or step is taken in any jurisdiction. (e) Commencement of an insolvency resolution process under the Insolvency and Bankruptcy Code in respect of the Allottee.

#### 35. CURE AND TERMINATION

Upon occurrence of any of the Events of Default, the Allottee shall immediately notify the Authority. Upon such notification, if in the opinion of the Authority the Event of Default is such that it can be cured or rectified or remedied to the satisfaction of the Authority, it may allow the Allottee to cure the default within the time prescribed by it ("the Cure Period").

In the event Allottee fails to cure the default in terms in the Cure Period, or the default is such that in the opinion of the Authority it is not capable of cure, the Authority may forthwith terminate the allotment and the Lease for the Plot.

The Authority's right to terminate the allotment and the Lease hereunder is in addition to and without prejudice to the rights and remedies available to it under the UP Industrial Development Act and the rules and regulations made thereunder.

#### 36. Role of YEIDA as per IBC 2016

- a. Under the provisions of IBC (Insolvency and Bankruptcy Code) 2016, YEIDA will be treated a Secure Financial Creditor and the lease deed executed shall be a Financial/Capital Lease Deed.
- b. Under the circumstances, where the Allottee is declared Bankrupt and the liquidation process through CIRP (Corporate Insolvency Resolution Process) begins, Lessor will be treated as Secure Financial Creditor and the dues (including penalties) of the Allottee shall be recovered through this procedure, treating Lessor/YEIDA as a Secure Financial Creditor.
- c. All amounts that are payable by the Lesee/Allottee to the Lessor/ YEIDA under the Lease Deed, whether towards the outstanding premium after allotment shall constitute a financial debt within the meaning of the IBC, 2016 AND the same shall constitute a charge on the leased property within the meaning of T.P. Act and / or IBC, 2016.
- d. The Lessee/Allottee expressly agrees that till such time all dues payable to the Lessor/ YEIDA under these presents, whether on account of unpaid premium or lease rent or any other account are duly paid and so acknowledged by the Lessor/ YEIDA, the leased premises shall remain a valuable security in the hands of the Lessor/ YEIDA so as to secure due payment under Lease Deed. The premium and lease rent and all other amounts payable under this lease is deferred payment with interest and constitute time value of money within the meaning of IBC, 2016. The Lessee/Allottee agrees that possession of lease land is given, which premium and lease rent remain pending, therefore, every amount outstanding and or raised by the Lessee/Allottee from its allottees shall be deemed to be an amount having the same effect as that for commercial borrowing etc. within the meaning of IBC.
- e. The Lessor/ YEIDA shall always have first charge over the leased premise in contrast to every financial institution or Bank from whom the Lessee/Allottee may borrow funds for completion of the project on the leased premise or any allottee of the Lessee/Allottee.
- f. The lessee shall be bound to disclose to Allottees/buyers, prior to confirmation of allotment of any Built-up space to be constructed or already constructed, about the outstanding towards the Lessor/YEIDA and that such outstanding constitute a priority compared to the allottees in the construction or to be constructed Built-up space.

# Yamuna expressway Industrial Development Authority Objective Criteria for the evaluation of the project/Application form.

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क्र. सं.	मद		fu/kk/gr val	vf/kdre val
1	संस्था की नेट वर्थ विगत तीन वर्शों की ओडिटिड बेलेन्स	परियोजना लागत का 30: या उससे अधिक होने पर	05	15
	शीट / वार्शिक वित्तीय विवरण के आधार पर।	परियोजना लागत का 40: या उससे अधिक होने पर	10	
		परियोजना लागत का 50: या उससे अधिक होने पर	15	
2	प्रस्तावित निवेश (प्रति एकड़)—	2.1 10 करोड़ प्रति एकड़ या उससे अधिक निवेश होने पर	05	15
		2.2 15 करोड़ प्रति एकड़ या उससे अधिक निवेश होने पर	08	
		2.3 20 करोड़ प्रति एकड़ या उससे अधिक निवेश होने पर	10	
		2.4 30 करोड़ प्रति एकड़ या उससे अधिक निवेश होने पर	15	
3	भुगतान पद्धति	आवंटित भूमि का 100 प्रतिशत भुगतान 90 दिन में करने पर उक्त मद में अई होने पर अंक दिये जायेगे अन्यथा शून्य अंक दिया जायेगा।	30	30
4	वित्तीय क्षमता स्ववित्त पोशित / ऋण	4.1 स्ववित्त पोशित 60 प्रतिशत से अधिक होने पर	05	15
		4.2 स्ववित्त पोषित एवं बैंक ऋण का प्रतिशत 40/60	08	
		4.3 स्ववित्त पोषित एवं बैंक ऋण का प्रतिशत 30/7	0 10	
5	किसी प्रतिष्ठित राष्ट्रीय/अर्न्तराष्ट्रीय संस्था द्वारा संस्थान द्वारा आवेदन प्राप्त होने पर।	उक्त मद में अर्ह होने पर अंक दिये जायेंगे अन्यथा शून्य अंक दिया जायेगा	05	05
6	स्टार्ट—अप संस्था होने पर।	उक्त मद में अर्ह होने पर अंक दिये जायेंगे अन्यथा शून्य अंक दिया जायेगा	05	05
7	महिला सदस्य/अनुसूचित जाति/ जनजाति/ विकलांगसदस्य। (आवेदक कम्पनी/साझेदारी फर्म में इस श्रेणी के सदस्य की कम से कम 26 प्रतिशत अंशधारिता होनी चाहिए)	उक्त मद में अर्ह होने पर अंक दिये जायेंगे अन्यथा शून्य अंक दिया जायेगा।	05	05
8	परियोजना प्रस्तुतीकरण	समिति के समक्ष प्रोजेक्ट प्रस्तुतीकरण के आधार पर मूल्यांकन के सापेक्ष न्यूनतम 0 एवं अधिकतम 10 अंक	0—10	10

#### Note:-

स्टार्ट–अप संस्था का लाभ लेने हेतु Department for Promotion of Industry and Internal Trade (DPIIT) द्वारा जारी प्रमाण–पत्र होना आवश्क है।

स्टार्ट—अप संस्था होने पर नेटवर्थ के लिये संस्था के निदेशकों / अंश धारकों की व्यक्तिगत नेटवर्थ को गणना में सिम्मिलित किया जायेगा। क्रम संख्या 1 से 7 तक प्रस्तावित मानकों में निर्धारित अर्हता अनुसार अधिकतम प्राप्त होने वाले अंकों (90 अंक) न्यूनतम 60 प्रतिशत अंक अर्थात 54 अंक या उससे अधिक अंक प्राप्त करने वाले आवेदक ही परियोजना प्रस्तुतीकरण हेतु अर्ह होगें।



#### FINANCIAL STATEMENT OF TURNOVER

		icant					
			2021-22	·······	2022-23		023-24
S.	Des	cription					
No	000	on paon	(as per audited		(as per audited balance sheet)		r audited ce sheet)
1	_	511	balance sheet	ι)	balance sneet)	Dalanc	se sneet)
1	I urn	over of the Applicant/Company					
Signature & Seal of Statutory Auditors/Chartered Accountant Membership No			Signature of Authorized signatory/applicant with name and status				
CERT	ΓIFIC	ATE OF THE CHARTERED ACCOU	NTANT/STATUT	ORY A	UDITOR		
		audited Accounts (balance sheet), we s/Statutory Auditors, certify that the a				ered	
				Signature & Seal of Chartered Accountant/ Statutory Auditors Membership No			
Annex	ure 3						
	Į.		TATEMENT OF Notes of as per brochure				
S.Ne	ο.	Description		Amo	ount in croreRu	ıpees	Remarks
1		Net worth as on 31.03.2024 of the Applicant as per audited annua					
Signa	iture 8	Seal of Statutory Auditors/Chartered	d		0: 1		
_		Membership No	u	Signature of Authorized signatory/applicant with name and status			
Certi	ficate	of the Chartered Accountant/Statut	tory Auditor				
Audit	Based on Audited Accounts (balance sheet), we M/s, Chartered Accountants/ Statutory Auditors, for the applicant having Net Worth upto 31-03-2024 is Rs certify that the above information						
is correct.			Signature & Seal of Statutory Auditors /Chartered Accountant				
					Membership No.		
Annex	ure 4						
		LIQU	IDITY CERTIFIC	ATE			
This	is to	certify that M/s	main	ntaining	g Current Account	/saving	Bank Account/
		Deposit Account Nos with us, havin				_	
on							

#### Note:-

(with rubber stamp)

Name of the Bank Officer with designation

- Separate certificate for each company / firm / society / trust to be submitted. 1.
- $Liquidity\ Certificate\ should\ not\ be\ more\ than\ 6\ months\ old\ from\ the\ date\ of\ submission\ of\ application.$ 2.

# **Annexure 5**

# Yamuna Expressway Industrial Development Authority INDEMNITY BOND

The Allottee/Lessee shall be wholly and solely responsible for the implementation of the Project and also for ensuring the quality of development/construction, subsequent operations and maintenance of facilities and services, till such time that an alternate agency for such work is identified and legally appointed by the Allottee/Lessee. The Allottee/Lessee is executing this indemnity bond, indemnifying YEIDA against all disputes arising out of:-

- i. The non-completion of work.
- ii. The quality and validity of development, construction, operations and maintenance.
- iii. Any legal dispute arising out of allotment, lease and/or sub-lease to the final purchaser.

Now therefore this indemnity Bond is executed and I the above named Allottee/lessee hereby agree to indemnify the authority against all claims, losses of damages or claims which may be preferred by any other person on the basis of any document executed by me.

I, therefore, execute this indemnity Bond in favour of the Authority in presence of the following:-

- Witness
- EXECUTANT

Place :
Date:

**Deponent** 

# **Annexure 6**

Place:

Date:

# (To be furnished on non-judicial stamp paper of Rs.100/- duly attested by notary public, by the sole Applicant or by Each Member/Trustee/Share-Holder(s)).

I	s/oAgedyears				
	owner/director/trustee/authorized signatory of				
r/o	do hereby solemnly affirm and state as under:				
That I am the	owner/director/ partner/ authorized signatory of, and competent to swear and submit				
the following:					
1)	That the deponent has read and understood the terms & conditions given in the brochure. It is mandatory to submit the above documents to the Authority before the execution of the Lease Deed and if the Deponent fails to submit the required documents, he alone would be responsible for the consequences resulting there from.				
2)	That the Deponent also understands the consequences that non-submission of the required documents may also lead to cancellation of his candidature/ allotment of the land for which he has applied.				
3)	The deponent declares that no unauthorized construction shall be made on the allotted plot.				
4)	If the Deponent fails to make unit functional within prescribed time limit from the due date of lease deed execution, the Authority will be free to cancel the allotted plot/lease deed and may resume possession of the plot.				
5)	That the Deponent assures and declare that in case of violation of any terms and conditions or any directions issued by the Authority, the CEO will be free to take any decision as it deem fit and appropriate.				
6)	The Deponent declares that I am not defaulter against any other property allotted to me in YEIDA. If it is found false at any stage it may lead to cancellation of my candidature or allotment of the plot.				
7)	That the information given in the application is true and correct and if any part of it is found to be false or concealed, the Authority will have right to cancel the plot irrespective to the deposit of money till that stage when the mala fide is revealed.				
	Deponent				
I, the Deponent swear and declare that para 1 to 7 of the above affidavit are true and correct to my best of knowledge and no part of it is false or concealed.					

**Deponent** 

#### **Annexure 7**

(To be furnished on non-judicial stamp paper of Rs.100/- duly attested by notary public, by the sole Applicant or by Each Member/Turstee/share-holder(s)).

Affidavit

			_	yea	
		e/Authorized Signatory	of of	r/o	do hereby
solemnly affir					
			_	natory of M/s	
•		ar and submit the follow	•		
			ent against total pre	emium of plot within 90 day	s from date of issuance
(	ofAllotme	ent letter.			
Deponent					
			•	ent swear and declare th	at para 1 of the above
affidavit are tr	rue and co	orrect to my best of know	vledge and no part	of it is false or concealed.	_ ,
					Deponent
Place:					
Date:					
<b>A</b>					
Annexure 8	_				
(To be 1	furnish	ed on non-judicia	l stamp paper	of Rs.100/- duly atte	ested by notary
publ	ic, by t	he sole Applicant	or by Each Mo	ember/Turstee/Shar	e-Holder(s)).
			Affidavit		
		- / -	۸ -، - ا		
			•	years r/o	
		and state as under:	/ 01	1/0	do
-	•		or/Authorized Sign	natory of M/s	
		ar and submit the follow	· ·	latory or ivi/s	
1.		he firm is applying for th	•	0)	
2.			•	etails of that allotment:-	
۷.	a.	•		Pocket No	Sector
	b.			I OCRETIVO	
	C.				
	d.				
	u.	Tunctional Date (VVII			
Deponent					
-			the Depor	nent swear and declare th	nat para 1 of the above
			•	of it is false or concealed.	
					Deponent
Place:					
Dato:					